



Filing ID #10022121

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Zach Emig  
**Status:** Congressional Candidate  
**State/District:** NY11

## FILING INFORMATION

**Filing Type:** Candidate Report  
**Filing Year:** 2018  
**Filing Date:** 05/15/2018

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Citibank Bank Account(s) [BA]		\$1 - \$1,000	Interest	\$1 - \$200	\$1 - \$200
Fidelity Rollover IRA ⇒ FIDELITY GOVERNMENT CASH RESERVES [MF]		\$1 - \$1,000	Tax-Deferred		
MUFG UNION BANK, N.A. 401(k) PLAN ⇒ Schroder International Multi-Cap Equity Trust (C!XR2) [MF]		\$15,001 - \$50,000	Tax-Deferred		
MUFG UNION BANK, N.A. 401(k) PLAN ⇒ T. Rowe Price Instl Small-Cap Stock (TRSSX) [MF]		\$15,001 - \$50,000	Tax-Deferred		
NY's 529 Plan (#1) ⇒ NY's 529 Plan: Aggressive Growth Portfolio [OT]		\$1 - \$1,000	Tax-Deferred		
DESCRIPTION: Investment portfolio managed by New York's 529 plan administrators.					
NY's 529 Plan (#1) ⇒ NY's 529 Plan: Interest Accumulation Portfolio [OT]		\$1 - \$1,000	Tax-Deferred		
DESCRIPTION: The Portfolio invests 100% of its assets in Vanguard® Short-Term Reserves Account.					

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
NY's 529 Plan (#2) ⇒ NY's 529 Plan: Aggressive Growth Portfolio [OT]		\$1 - \$1,000	Tax-Deferred		
DESCRIPTION: Investment portfolio managed by New York's 529 plan administrators.					
NY's 529 Plan (#2) ⇒ NY's 529 Plan: Interest Accumulation Portfolio [OT]		\$1 - \$1,000	Tax-Deferred		
DESCRIPTION: Investment portfolio managed by New York's 529 plan administrators.					
NY's 529 Plan (#3) ⇒ NY's 529 Plan: Aggressive Growth Portfolio [OT]		\$1 - \$1,000	Tax-Deferred		
DESCRIPTION: Investment portfolio managed by New York's 529 plan administrators.					
NY's 529 Plan (#3) ⇒ NY's 529 Plan: Interest Accumulation Portfolio [OT]		\$1 - \$1,000	Tax-Deferred		
DESCRIPTION: Investment portfolio managed by New York's 529 plan administrators.					
Santander Bank Account [BA]		\$15,001 - \$50,000	Interest	\$1 - \$200	\$201 - \$1,000

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE C: EARNED INCOME

Source	Type	Amount Current Year to Filing	Amount Preceding Year
MUFG Securities Americas, Inc.	Salary	\$212,115.00	\$375,000.00

## SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
	American Express	April 2018	Paid 2017 US Federal taxes on ~April 15, 2018 using Amex card	\$10,000 - \$15,000

## SCHEDULE E: POSITIONS

Position	Name of Organization
Director	MUFG Securites Americas, Inc.
COMMENTS: This was my full time job throughout the reporting period until May 2018.	

## SCHEDULE F: AGREEMENTS

None disclosed.

## SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

None disclosed.

## SCHEDULE A ASSET CLASS DETAILS

- Fidelity Rollover IRA
- MUFG UNION BANK, N.A. 401(k) PLAN
- NY's 529 Plan (#1)  
LOCATION: NY
- NY's 529 Plan (#2)  
LOCATION: NY
- NY's 529 Plan (#3)  
LOCATION: NY

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

## CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Zach Emig , 05/15/2018